

# **INFORMATION DISCLOSURE STATEMENT BY APPLICANT** ( Not for submission under 37 CFR 1.99)

Application Number	10597842
Filing Date	2006-08-09
First Named Inventor	Georg Duda
Art Unit	1724
Examiner Name	Unavailable
Attorney Docket Number	17346-0038

## **U.S.PATENTS**

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Examiner Initial*	Cite No	Patent Number	Kind Code <sup>1</sup>	Issue Date	Name of Patentee or Applicant of cited Document	Pages,Columns,Lines where Relevant Passages or Relevant Figures Appear
	1	6034296		2000-03-07	Elvin; Niell	
	2	4186749		1980-02-05	Fryer; Thomas B	
	3	6464687		2002-10-15	Ishikawa; Akira et al	
	4	5769893		1998-06-23	Shah, Mrugesh K	
	5	6610096		2003-08-26	MacDonald; Stuart G	
	6	6447448		2002-09-10	Ishikawa; Akira et al	
	7	5964993		1999-10-12	Blubaugh, Jr.; Elmo A et al	
	8	5725578		1998-03-10	Knapp; Terry R et al	

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9	6322562		2001-11-27	Dietmar Wolter	
10	6793659		2004-09-21	Putnam, Matthew D	

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	1	20020151770		2002-10-17	Noll, Austin F. III et al	
	2	20030073999		2003-04-17	Putnam, Matthew D.	
	3	20040204647		2004-10-14	Grupp, Thomas et al	
	4	20040023623		2004-02-05	Stauch, Roman et al	

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	1	0149173	WO	A1	2001-07-12	Imatec AG		<input type="checkbox"/>

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2	0119239	WO	A1	2001-03-22	Endoluminal Therapeutics, Inc.		<input type="checkbox"/>
3	10048375	DE	A1	2002-05-08	Delsanter, Michele et al		<input type="checkbox"/>
4	03092514	WO	A1	2003-11-13	Control Delivery Systems, Inc.		<input type="checkbox"/>
5	4132021	DE	A1	1993-04-01	Clasbrummel, Bernhard		<input type="checkbox"/>
6	0559870	EP	B1	1996-08-28	Clasbrummel, Bernhard		<input type="checkbox"/>
7	10137011	DE	A1	2003-02-20	Aesculap AG & Co. KG		<input type="checkbox"/>
8	10055519	DE	A1	2002-06-06	Wittenstein GmbH & Co. KG		<input type="checkbox"/>
9	19858889	DE	A1	2000-06-21	Wolter, Dietmar		<input type="checkbox"/>

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**NON-PATENT LITERATURE DOCUMENTS**

Examiner Initials*	Cite No	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc), date, pages(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>5</sup>
	1	G.N. Dudas, et al, "Does Partial Weight Bearing Unload a Healing Bone in External Ring Fixation", Langbecks Arch Surg, October 2003, pp 298-304	<input type="checkbox"/>

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**EXAMINER SIGNATURE**

Examiner Signature		Date Considered	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through a citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> See Kind Codes of USPTO Patent Documents at [www.USPTO.GOV](http://www.USPTO.GOV) or MPEP 901.04. <sup>2</sup> Enter office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>3</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>4</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>5</sup> Applicant is to place a check mark here if English language translation is attached.

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**CERTIFICATION STATEMENT**

Please see 37 CFR 1.97 and 1.98 to make the appropriate selection(s):

- ☐ That each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(1).

**OR**

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- ☐ See attached certification statement.
- ☐ Fee set forth in 37 CFR 1.17 (p) has been submitted herewith.
- ☐ None

**SIGNATURE**

A signature of the applicant or representative is required in accordance with CFR 1.33, 10.18. Please see CFR 1.4(d) for the form of the signature.

Signature	/Peter G. Pappas/	Date (YYYY-MM-DD)	2007-06-26
Name/Print	Peter G Pappas	Registration Number	33,205

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1 hour to complete, including gathering, preparing and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:	)
	)
<b>Georg Duda, et al</b>	)
	)
Serial No.: <b>10/597,842</b>	)
	)
Filed: <b>August 09, 2006</b>	)
	)
For: <b>Component and Method for</b>	)
<b>Assembling an Implant Arrangement</b>	)

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 INFORMATION DISCLOSURE STATEMENT
 

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Commissioner for Patents  
Washington, DC 20231

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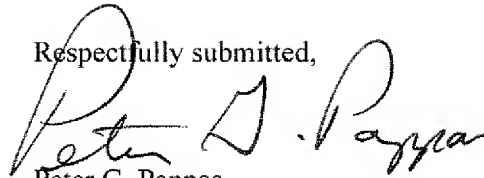
The citation of information "List of Art Cited by Applicant" is made pursuant to 37 C.F.R. §§ 1.56, 1.97, and 1.98.

With regards to the references cited in the Foreign Patent Documents: US 6,322,562 corresponds to DE 19858889. US publication 20040204647 corresponds to DE 10137011. US publication 20040023623 corresponds to DE 10055519. EP 0559870, including relevant English claims on page 6-7, corresponds to DE 4132021. US publication 20030073999 corresponds to US 6,793,659. The foregoing US Patents and publications express, in English, the relevance of the cited foreign language references. English translations, by the inventors, of cited paragraphs to foreign language applications DE 4132021 and DE 10048375 are submitted herewith.

Copies of the International Search Report and Written Opinion for the International Searching Authority for the priority PCT application PCT/DE2005/000233 are submitted herewith.

The citation of this information does not constitute an admission of priority or that any cited item is available as a reference, or a waiver of any right the applicant may have under applicable statutes, Rules of Practice in patent cases, or otherwise.

Respectfully submitted,

A handwritten signature in black ink, appearing to read "Peter G. Pappas". The signature is fluid and cursive, with the first name "Peter" and last name "Pappas" clearly distinguishable.

Peter G. Pappas,  
Reg. No. 33,205

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Our Docket: 17346-0038